

#2

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INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT

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Sheet

1

of

5

Application Number

09/803,342

Filing Date

3/8/01

First Named Inventor

Frannarz Farahi

Group Art Unit

Examiner Name

Attorney Docket Number

33377/196876

## U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No.	U.S. Patent Document		Name of Patentee or Applicant Of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages of Relevant Figures Appear
		Number	Kind Code (if known)			
Akh	1	3,810,688		Ballman et al.	05-14-1974	
	2	3,923,373		Dabby et al.	12-02-1975	
	3	4,037,005		Phillips	07-19-1977	
	4	4,068,920		Bass et al.	01-17-1978	
	5	4,181,399		McMahon et al.	01-01-1980	
	6	4,262,994		Sheem	04-21-1981	
	7	4,323,381		Matsuyama et al.	04-06-1982	
	8	4,417,910		Passaret	11-29-1983	
	9	4,680,046		Matsuo et al.	07-14-1987	
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	11	4,707,174		Johnson, Jr. et al.	11-17-1987	
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	13	4,765,702		Dohan et al.	08-23-1988	
	14	4,767,429		Fleming et al.	08-30-1988	
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	17	5,080,962		Hench	01-14-1992	
	18	5,082,341		Walker	01-21-1992	
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	20	5,122,894		Grantham	06-16-1992	
	21	5,123,940		DiGiovanni et al.	06-23-1992	
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Examiner  
Signature

Akh E. ullal

Date  
Considered

4-23-2003

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				Filing Date	3/8/01
				First Named Inventor	Frannarz Farahi
				Group Art Unit	
				Examiner Name	
				Attorney Docket Number	33377/196876

25	5,224,195		Yoshida et al.	06-29-1993	
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27	5,238,880		Inami et al.	08-24-1993	
28	5,254,148		Inami et al.	10-19-1993	
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30	5,265,185		Ashley	11-23-1993	
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Examiner Signature	Akm E. Ullah	Date Considered	4-23-2003
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Sheet 3 of 5

Application Number	09/803,342
Filing Date	3/8/01
First Named Inventor	Frannarz Farahi
Group Art Unit	
Examiner Name	
Attorney Docket Number	33377/196876

Anllab	52	5,480,687	Heming et al.	01-02-1996	
	53	5,485,540	Eda et al.	01-16-1996	
	54	5,488,955	Dias et al.	02-06-1996	
	55	5,498,444	Hayes et al.	03-12-1996	
	56	5,521,992	Chun et al.	05-28-1996	
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	60	5,544,268	Bischel et al.	08-06-1996	
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	67	5,622,750	Kilian et al.	04-22-1997	
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Examiner Signature	Akon E. Ulla	Date Considered	4-23-2003
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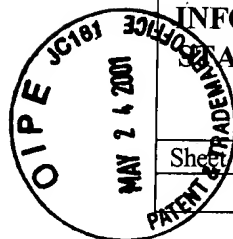
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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

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Sheet 4 of 5

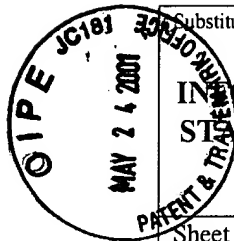
Application Number	09/803,342
Filing Date	3/8/01
First Named Inventor	Frannarz Farahi
Group Art Unit	
Examiner Name	
Attorney Docket Number	33377/196876



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Examiner Signature	Akm E. ulla	Date Considered	4-23-2003
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Substitute for form 1449A/PTO				<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)				Application Number	09/803,342
				Filing Date	3/8/01
				First Named Inventor	Frannarz Farahi
				Group Art Unit	
				Examiner Name	
Sheet	5	of	5	Attorney Docket Number	33377/196876

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109	5,953,469		Zhou et al.	09-14-1999	
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CLT01/4469362v1

Examiner Signature	<i>Akm E. Ullah</i>	Date Considered	4-23-2003
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Paper no. 5

Substitute for form 1449B/PTO (Revised 10/2001)		MAR 2 2 2002		Complete if Known	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)		Application Number		09/803,342	
		Filing Date		March 9, 2001	
		First Named Inventor		Faramarz Farahi	
		Group Art Unit		2811	
		Examiner Name		To be assigned	
Sheet	1	of	2	Attorney Docket Number	33377/196876
<b>OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS</b>					
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			T
Aullah ↓	3	JEROME PORQUE; PAUL COUDRAY; YVES MOREAU; PASCAL ETIENNE; <i>Propagation in Sol-Gel Channel Waveguides: numerical and experimental approaches</i> ; <u>Optical Engineering</u> ; April 1998; pages 1105-1110; Vol. 37, No. 4; Society of Photo-Optical Instrumentation Engineers; Bellingham, US; ISSN: 0091-3286; XP000771669.			
	4	C.-Y. LI, J. CHISHAM, M. ANDREWS, S.I. NAJAFI, J.D. MACKENZIE, N. PEYGHAMBARIAN; <i>Sol-gel integrated optical coupler by ultraviolet light imprinting</i> ; <u>Electronics Letters</u> ; February 1995; pages 271-272; Vol. 31, No. 4; IEE Stevenage, GB; ISSN: 0013-5194; XP006002424.			
	5	M.A. FARDAD, M.P. ANDREWS, S.I. NAJAFI; <i>Novel sol-gel fabrication of integrated optical waveguides; Integrated Optics Devices: Potential for commercialization, San Jose, CA, USA</i> ; February 1997; pages 72-78; Vol. 2997; Proceedings of the SPIE – The International Society for Optical Engineering, 1997, SPIE-Int. Soc. Opt. Eng, USA; ISSN: 0277-786X; XP001039942.			
	6	P. COUDRAY, J. CHISHAM, A. MALEK-TABRIZI, C.-Y. LI, M.P. ANDREWS, N. PEYGHAMBARIAN, S.I. NAJAFI; <i>Ultraviolet light imprinted sol-gel silica glass waveguide devices on silicon</i> ; <u>Optics Communications</u> ; July 1996; pages 19-22; Vol. 128, No. 1; North-Holland Publishing Co.; Amsterdam, NL; ISSN: 0030-4018; XP004018500.			
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Examiner Signature	Ak m E. Ullah	Date Considered	4/23/2003
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Paper no. ⑤

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(Revised 10/2001)



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Application Number 09/803,342  
Filing Date March 9, 2001  
First Named Inventor Faramarz Farahi  
Group Art Unit 2811  
Examiner Name To be assigned  
Attorney Docket Number 33377/196876

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**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No.	Document Number Number - Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee of Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages of Relevant Figures Appear
Aullah	1	US- 5,480,687	01-02-1996	Heming et al.	

**FOREIGN PATENT DOCUMENTS**

Examiner Initials	Cite No.	Foreign Patent Document Country Code - Number Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T
Aullah	2	JP 11 202143 A	07-30-1999	Furukawa Electric Co. Ltd.		Abstract

Examiner Signature Akram E. Ullah Date Considered 4-23-2003

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